

The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

I also certify that the application is now proceeding in the name as identified herein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed Milliam Morell

Dated 12 May 2006



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/019,052

**Applicant** 

: Roger NEW et al.

Filed

: April 22, 2002

TC/A.U.

: 1639

Examiner

: Mark Lance Shibuya

Docket No.

: 1417-212

Customer No.

: 06449

Confirmation No.

: 5183

## SUBMISSION OF PRIORITY APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Submitted herewith is a certified copy of United Kingdom Patent Application No. 9915074.0, filed June 28, 1999, from which priority has been claimed in the abovereferenced patent application.

Respectfully submitted,

By

Jeffrey L. Ihnen

Attorney for Applicants

Registration No. 28,957

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

Suite 800, 1425 K Street, N.W.

Washington, D.C. 20005 Telephone: (202)783-6040

Enclosure(s): Certified Copy

## GB9915074.0

By virtue of a direction given under Section 30 of the Patents Act 1977, the application is proceeding in the name of

Proxima Concepts Limited P O Box 29757 London NW3 6ZW

[ADP No. 08007429001]

Patents Form 1/77.

nts Act 1977

29.JUN99 E45804 -7 102 P01/7700 0.00 - 9915074.0

The Patent Office 28 JUN 1999

Cardiff Road Newport Gwent NP9 1RH

Request for grant of 2 part (See the notes on the back of this form. Yes an explanatory leaflet from the Patent of the Patent of

you fill in this form)

1.	Your reference	91204/JND
2.	Patent application number (The Patent Office will fill in this part)	9915074.0
3.	Full name, address and postcode of the or of each applicant (underline all surnames)  SECT	70N Jones ple Cortecs (UK) Limited 49 The 1907 Blue School Lower Square APPINGATION FILED 30-08-00 07375033001. 9 60.00
	Patents ADP number (if you know it)	isieworm, who de to we file of 30.08.
	If the applicant is a corporate body, give the country/state of its incorporation	76370601 9600
4.	Title of the invention LIGAND-BINDING CO	MPOSITION
5.	Name of your agent (if you have one)	Page White & Farrer
	"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	54 Doughty Street London WC1N 2LS
	Patents ADP number (if you know it)	1255003
6.	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country Priority application number Date of filing (if you know it) (day / month / year)
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application  Date of filing  (day / month / year)
8.	Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body See note (d))	Yes Patents Form 1/77

## Patents Form 1/77

9.	Enter the number of sheets for any of the
	following items you are filing with this form.
	Do not count copies of the same document

Continuation sheets	0	
	Description	25
	Claim(s)	
	Abstract	
	Drawing(s)	2 +2

10. If you are also filing any of the following, state how many against each item.

0	Priority documents
0	Translations of priority documents
0	Statement of inventorship and right to grant of a patent (Patents Form 7/77)
0	Request for preliminary examination and search (Patents Form 9/77)
0	Request for substantive examination (Patents Form 10/77)

Any other documents -(please specify)

11.

I/We request the grant of a patent on the basis of this application.

On hust Signature

Date 28 June 1999

PAGE WHITE & FARRER

12. Name and daytime telephone number of person to contact in the United Kingdom

Mr J N Daniels 0171-831-7929

#### Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

#### Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505. a)
- Write your answers in capital letters using black ink or you may type them. b)
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Patents Form 1/77

#### LIGAND-BINDING COMPOSITION

The present invention relates to a composition for interacting with a ligand, a method for producing such a composition and a method for producing a molecule based on the composition.

Protein receptors are known normally to bind to their target ligands via epitopes, which constitute a small proportion of the total protein molecule. For maximum binding or interaction, the structure of the epitope needs to be maintained in a rigid conformation in order to form a binding site containing all the close proximity. of the epitope in necessary components Attempts to produce an analogous peptide constructed solely of the amino acids comprising the binding site often fail because these peptides do not possess the same biological activity as This is attributed to the peptide having the protein receptor. a different conformation in free solution from that of the entire protein receptor. In addition, where the binding site of a protein is constructed of oligo-peptides from different, nonchain, mixing isolated protein contiquous parts of oligopeptides in free solution does not result in reconstitution of the active binding site.

Being constrained to use such large proteins to present bindingsite epitopes gives rise to several problems in development of new receptor-specific therapeutic strategies. One problem is that such large proteins can readily evoke an immune response. A second problem is that long peptide chains are susceptible to attack by endopeptidases, such as those in the lumen of the gut. Finally, these large proteins can be costly to manufacture, purify and maintain in stable form.

The present invention aims to overcome the disadvantages of the prior art.

In a first aspect, the invention provides a composition for interacting with a ligand, which composition comprises a non-covalent assembly of a plurality of distinct conjugates, each conjugate comprising a head group and a tail group, wherein the tail groups of the conjugates form a hydrophobic aggregation and the conjugates have freedom of motion with respect to each other within the assembly so that, in the presence of a ligand, at least two of the head groups (which are the same or different) are appropriately positioned to form an epitope capable of interacting with the ligand more strongly than each of head groups individually. The head groups are typically hydrophilic and the tail groups typically hydrophobic, eg lipophilic, composed of hydrocarbon chains, halophilic, constructed of fluorocarbon chains, or silane based.

By constructing conjugates with a head group and a tail group in accordance with the present invention, the tail groups can associate to form a hydrophobic aggregation which is typically a supramolecular assembly such as a micelle, a lamellar structure, a liposome or other lipid structure, in which the conjugate are oriented whereby the head groups are brought into close proximity when in an aqueous phase. Because the conjugates are movable within the assembly, the head groups are able to adopt a number of different positions within the assembly. The head

groups, which are typically non-identical, are therefore free to move within the assembly and, surprisingly, to interact cooperatively to induce biological consequences which the head groups on their own are not capable of eliciting. A further unexpected finding is that assemblies composed of combinations of different headgroups are capable of eliciting biological responses or participating in binding with biological receptors while assemblies composed of single headgroups are not capable of acting in this way.

As indicated above, these supra-molecular assemblies are typically particulate or colloidal in nature, usually comprising many hundreds of sub-units (the conjugates) all oriented with the headgroups directed outwards from the centre of the particle as shown in Figure 1a. Each of the conjugates may change its location within the assembly, being free to exchange places with adjacent conjugates by a process of Brownian motion and, in so doing, may migrate over the whole surface of the assembly. Other manifestations of supra-molecular assemblies are cubic phases and coated surfaces.

Each conjugate in the assembly may have a head group selected from one chemical or biological class or a number of different classes, such as an amino acid or peptide; a peptide analogue; a mono-, di- or poly-saccharide; a mono-, di- or poly-nucleotide; a sterol; an alkaloid; an isoprenoid; an inositol derivative; a single or fused aromatic nucleus; a water-soluble vitamin; a a phthalocyanine; a porphyrin or haem nucleus; enzyme water-soluble drug; a hormone; or an chelate; substrate.

In one preferred embodiment, each head group comprises an amino acid or oligo-peptide, which may be the terminal portion of a peptide chain. It is desirable to keep the length of the peptide to a minimum so as to avoid eliciting an immune response where the composition is to be used *in vivo*. Accordingly, it is preferred that the peptide is no more than six amino acids long.

The amino acids employed can be any of the natural amino acids, substituted derivatives, analogues, and D- forms thereof.

The tail groups of the conjugates may be all the same or may be a mixture of different tail groups, each of which preferably comprises a hydrophobic group selected from a linear, branched, cyclic, polycyclic, saturated or unsaturated construct, with or without hetero-atoms included in the structure which can be substituted or unsubstituted, for example, a lipidic amino acid analogue; a prostaglandin; a leukotriene; a monodiglyceride; a sterol; a sphingosine or ceramide derivative; and silicon or halogen-substituted derivative of such hydrophobic group. The tail group preferably has from 6 to 24 carbon atoms and more preferably comprises from 10 to 14 carbon More than one tail group may be present in each conjugate. For example, one or more lipidic amino acids with hydrocarbon side chains may form part of each conjugate, linked to one or more amino acids in the head group.

Any chemical method may be used to link the head group to the tail group. For example, each conjugate may further comprise a spacer group linking the head group to the tail group so as to facilitate presentation of the head group on the surface of the

non-covalent association. Such spacer groups are well known and include, for example, amino acids, hydroxy acids, sugars and polyethylene glycol.

In a further aspect, the present invention provides a composition as defined above, for use as a medicament, a prophylactic or a diagnostic.

An advantage of the invention is that strong specific binding interactions can be achieved with conjugates in which the head groups are small in comparison to conventional biological receptors. If the head group is an oligo-peptide, for example, then the length of the peptide chain would not normally exceed ten amino acids and would preferably be six or less. Accordingly, compositions according to the present invention can be made far less immunogenic than their protein counterparts.

In accordance with this aspect of the invention, not only can the composition of the present invention be formulated to interact with a ligand in vitro but also the composition can be used in vivo, optionally formulated with a suitable diluent, excipient or carrier in accordance with a suitable delivery route.

In a further aspect, the present invention provides use of a conjugate comprising a head group and tail group for the preparation of the composition as defined above.

There is further provided a method for producing a composition for interacting with a ligand, which method comprises:

plurality of distinct conjugates, (a) providing a conjugate comprising a head group and a tail group; and (b) by noncovalent forming from the plurality of conjugates, association thereof, an assembly in which the tail aggregate hydrophobically and in which the conjugates exhibit freedom of motion relative to one another so that, in presence of a ligand, at least two of the head groups are epitope capable appropriately positioned to form an interacting with the ligand more strongly than each of head groups individually. Each conjugate is preferably as defined above.

The conjugates may be dispersed in aqueous phase by a variety of known methodologies for the preparation of lipid vesicles, including mechanical mixing, exposure to high shear forces, sonication, solvent dispersion or codissolution with detergents. Typically, the non-covalent supra-molecular assemblies formed thereby will be composed of several different conjugates mixed together. Additional lipidic materials may optionally be added to alter surface properties, to aid in the dispersion of the conjugates, to stabilise the non-covalently associated assembly of conjugates, to aid in the presentation of head groups of the conjugates, or to permit the construction of vehicles which can be targeted by the epitopes formed upon random movement of the conjugates and appropriate positioning of the head groups within the assembly.

An important aspect of the method according to the present invention involves the step of identifying the plurality of

conjugates which has the desired biolgical activity. In a preferred aspect, this step comprises

- (i) selecting a set of conjugates with an array of head groups;
- (ii) forming a non-covalent association therefrom, in which the tail groups aggregate hydrophobically and in which the conjugates exhibit freedom of motion with respect to one another;
- (iii) assaying for sufficient interaction between the non-covalent association and the ligand;
- (iv) optionally repeating steps (i) to (iii) using a set of conjugates with a modified array of head groups; and
- (v) on finding sufficient interaction in step (iii), selecting the set of conjugates as the plurality of conjugates in step (a).

Examples of assays for "sufficient interaction" may include binding assays such as those utilising the ELISA principle for detection of association between antibody and antigen. Other suitable in vitro assays include modification of fluorescence of environmentally-sensitive membrane-bound fluorescent probes, precipitation reactions, enhancement or inhibition of enzyme activity etc. Assays relying on the abilty of materials to alter the behaviour of cells cultured in vitro may also be appropriate, such as assays for cell death, cell proliferation,

apoptosis, inhibition or stimulation of cell-to-cell contact, secretion of cytokines or other soluble products, synthesis of specific m-RNA, intracellular vesicular transport, alteration of cell signalling processes etc. In vivo assays in whole animals or humans may also be carried out, for example incorporation of radiolabel into the supramolecular assemblies, followed by investigation of its subsequent distribution after administration by various routes.

According to this method a combinatorial approach is used in which a range of different supra-molecular assemblies "probes") is prepared, each containing a different combination of conjugates selected from a pre-synthesised bank. Selection of the appropriate conjugates may be based on known properties of the target ligand or may simply involve the use of a very wide range of head groups to increase the probability that two or more of the head groups will form an epitope for the ligand. In this way, following the assay for sufficient interaction between the probe and the ligand as described above, combination of conjugates found to be most effective may be modified by adding further head groups, removing some head groups, or both, and assaying the resultant probes once again for sufficient interaction. Eventually, the most favourable combination of head groups may be identified and selected for use in the composition.

The present invention therefore has a very clear advantage over traditional combinatorial chemistry. In combinatorial chemistry, the identification of the most favourable sequence for binding to a specific receptor must be carried out by

synthesis of hundreds of possible combinations of different groups such as amino acids, in different orders, each one having to be tested for efficacy. This process is time-consuming, expensive and is limited by the nature of the chemistry which can be carried out in linking the different components together. In contrast, the present invention simply relies upon proximity of the head groups to provide association-derived epitopes. Once a set of conjugates has been synthesised, no further synthetic chemistry is required, only simple mixing of the conjugates to form the different probes by non-covalent association.

In a preferred simple embodiment, the present method uses conjugates having a single terminal amino acid linked via a spacer to a lipid tail group which can be combined simply by mixing in aqueous medium to form micelles in which different amino acid side chains would be presented together in a multiplicity of different configurations. Accordingly, the need to present amino acids in a specific order, or with a specific spacing or orientation, is circumvented. On statistical grounds, a proportion of the individual amino acid sub-units will always be associated in an ideal configuration.

In one arrangement, each of the conjugates would have the linear structure: X-spacer-spacer-lipid-lipid, where X represents a single amino acid different for each of the distinct conjugates employed.

When seeking to construct epitopes composed of natural amino acids it is possible to simplify further the number of head

groups for selection. One can categorise the amino acid residues found in natural proteinaceous materials into six fundamental classes preferably using in any one class one amino acid rather than all members of that class because of the increased spatial flexibility of amino acids in the terminal position of the head group. This has the effect of reducing considerably the total number of amino acids required for constructing the pre-synthesised bank of conjugates and thereby the total number of head groups used. The main classes of amino acids are set out in Table 1 below.

Table 1		
Class	Representative	
Abbreviation		
Hydrophobic	Leucine	L
Hydroxylic	Serine	S
Acidic	Glutamate	E
Amide	Glutamine	Q
Basic	Histidine	Н
Aromatic	Tyrosine	Y

A number of strategies are available for identifying active combinations of amino acid-containing conjugates.

In one embodiment, a restricted number of conjugates is employed to form a range of distinct probes where each probe is an aqueous suspension of supra-molecular assemblies, each assembly consisting of selected conjugates mixed together, and each differing from the other as a result of the inclusion of a

different additional conjugate as shown below where each of the letters given represents a conjugate with a different terminal amino acid:

Probe 1 ABCD
Probe 2 ABCE
Probe 3 ABCF
Probe 3 ABCG
.....
Probe x ABCZ

Each of the probes is tested separately in the biological assays for sufficient binding as outlined above.

In a second simple embodiment, an initial probe can be constructed which contains a large number of different conjugates from the bank, and its efficacy compared with probes each lacking a different conjugate in turn, to determine which headgroups in the bank are essential, and which are redundant for the biological interaction being investigated. This approach is illustrated below:

Probe 1 A B C D E . . . Z
Probe 2 A C D E . . . Z
Probe 3 A B D E . . . Z
......
Probe x A B C D E . . .

(

Combinations of the alternative approaches as outlined above can be made.

A knowledge of the target ligand may assist in designing a suitable starting array. For example, if the ligand is known to be basic, it would make sense to impart an acidic character to the conjugates by presenting them in the form where a free carboxyl group of the terminal amino acid is exposed. Introducing additional functionality by employing a particular amino acid as a spacer group adjacent to the terminal amino acid may also confer increased specificity. Where the involvement of, say, a short oligo-peptide sequence of known structure has already been implicated in binding to the target ligand, such a sequence may be incorporated into a conjugate to be included in the set of conjugates making up the composition.

In a final aspect, the present invention provides a method for producing a molecule for interacting with a ligand. The method comprises producing a composition according to one of the methods defined above; identifying the at least two head groups which form an epitope for the ligand in the composition; and producing a molecule incorporating the functional groups of the producing a molecule incorporating the functional groups of the at least two head groups optionally spaced apart by one or more at least two head groups optionally spaced apart by one or more linker groups so that the molecule is capable of interacting with the ligand more strongly than each of the head groups individually.

Whilst the compositions of the present invention may themselves be useful in in vitro or in vivo systems perhaps to induce a biological response in a therapeutic, prophylactic or diagnostic method, in some circumstances a molecule may be produced based on the structure of the above compositions. By identifying the functional groups of the at least two head groups which form the epitope for the ligand a new molecule analogous to the composition may be produced containing the same or a similar epitope. The functional groups may, for example, be incorporated into a .single linear oligo-peptide possibly with one or more linker groups to space the functional groups apart.

The invention will now be described in further detail, by way of example only, with reference to the following Examples and the attached drawings, in which:

FIGURE 1 shows a schematic representation of the surface of a supra-molecular assembly, and how such a composition according to the present invention binds to a target ligand; and

FIGURE 2 shows a schematic representation of the surface of a supra-molecular assembly composed of two non-identical conjugates whose headgroups consist of short-chain linear peptides.

Referring to Figure 1, a section 1 of a composition according to the present invention is shown in the form of a micelle in which the head groups 2 and tail groups 3 together form conjugates 4 (Fig. 1A). A target ligand 5 is presented to the composition 1. Because the conjugates are movable, a rearrangement occurs (Fig. 1B) to allow positioning of the head groups 2 to bind the target ligand 5. Referring to Figure 2, a section of a composition according to the present invention is shown in the form of a

supramolecular assembly, in which binding of a ligand to the surface of the assembly is brought about by the creation of an epitope constructed via the non-covalent association of two conjugates composed of short-chain peptides (A), this epitope being able to interact with the ligand more strongly than either of the individual conjugates in isolation (B). The same principle applies for headgroups containing structures other than amino acids.

#### **EXAMPLES**

the examples given below, the standard convention representation of amino acids by single letters of the alphabet is employed, except that in all cases the letter refers to conjugates as described above in which that particular amino acid occupies the terminal position in the peptide chain. the examples described here, the lipid comprises two amino acids linked via a peptide bond, in which both of the amino acids are glycine analogues, where in each case the alpha hydrogen has been replaced by a linear hydrocarbon chain containing either 12 or 14 carbons. Linkages between the headgroup and spacer and the spacer and lipid are all via peptide bonds. The headgroup bears a free amino group and the free end of the lipid bears a The structure of each conjugate is thus:  $\mathrm{NH_{2}-}$ headgroup-spacer-amino acid ( $C_{14}$  side chain)-amino acid ( $C_{12}$  side chain)  $-CONH_2$ .

# Example 1: Stimulation of TNF secretion from macrophages

 Individual conjugates E, Y, Q, S & H (linked to lipid via a serine-glycine spacer) were prepared as solutions in methanol/dichloromethane 1:1 at a concentration of 5mg/ml.

- 2. Solutions of the conjugates were dispensed into 7ml glass vials in equal proportions, to give a final volume of 400ul (2mg of solid) in all vials, as shown in the example overleaf. In cases where the volume of organic solution available was insufficient, adjustment was made at a later stage, when the quantity of water added for reconstitution was reduced accordingly, as shown.
- 3. The contents of all vials were dried down under a stream of nitrogen, then exposed to a vacuum of at least 1mbar overnight in a lyophiliser.
- 4. On the following day, distilled water was added in volumes as indicated in the table overleaf, to give a final concentration in all vials of lmg/ml. The vials were capped, warmed to 37 degC and bath-sonicated until clarity was achieved.
- 5. The samples were then applied to wells of 24-well cluster plates into which cells of the J774A-1 macrophage cell line had been plated (5 x  $10^4$ cells/ml/well). Volumes of 100ul and 10ul of sample were added to individual wells, and the cells were incubated overnight at 37 degC in an atmosphere of 5%  $CO_2/air$ .
- 6. The following day, duplicate volumes of 50ul of supernate were taken from each well and measured for TNF concentration in a capture ELISA assay. Results obtained are shown in the table below.

	Volume of Conjugato					olume of water added
	E	Y	Q	S	H	
E Y Q S H E Q S H E Q S H E Q S H Y Q S H Y Q S H Y Q S H Y Q S H Y Y Q S H E Y Q S H E Y Q S B E Y Q S H E Y	260ul	133ul 133ul	310ul 200ul 200ul 200ul 133u 1 133u 1 133u 1 133u 1 50u 1 50u 1 50u 1 50u	360ul 200ul 200ul 200ul 133ul 133ul 133ul 133ul 133ul 150u 1 50u 1 50u 1 50u	200ul 200ul 133ul 133ul 133ul 133ul 133ul 150ul 1 50ul 1 50ul 1 50ul	
EYQSH	40u	1 400				

	OD <sub>450</sub> in 100ug	J774 sur 10ug	pernates Oug
E	0.628	0.098	0.013
Y	0.313	0.053	
Q	0.083	0.015	
S	0.348	0.143	
H	0.632	0.206	
EY	0.198	0.027	
EQ	0.113	0.022	
ES	0.211	0.225	
EH	0.167	0.037	
YQ	0.245	0.034	
YS	0.786	0.363	
YH	0.541	0.133	•
QS	0.212	0.025	
QН	0.135	0.027	
SH	0.515	0.177	
QSH	0.253	0.032	
YSH	0.712	0.229	
YQH	0.290	0.020	
YQS	0.519	0.119	
ESH	0.380	0.246	
EQH	0.107	0.026	
EYH	0.254	0.042	
EYS	1.289	0.355 0.064	
EYQ	0.191	0.064	
EQS	0.209	0.027	
EYQS EYQH		0.266	
EYSH	0.224	0.007	
EQSH	0.202	0.146	
YQSH	0.319	0.045	
EYOSH	0.375	0.073	

It can be seen that some, but not all, of the combinations of different headgroups elicit strong biological responses, indicating that the response is specific to those particular combinations. The example illustrates the way in which the conjugates described can be employed in the combinatorial

approach to identify efficacious combinations for the purpose of eliciting a desired biological response.

## Example 2: TNF secretion from macrophages

Comparison of supra-molecular assemblies containing a mixture of conjugates, with a mixture of supra-molecular assemblies each containing a single conjugate

Samples were prepared as described in Example 1, with or without the inclusion of additional lipidic materials as described below. The combination of conjugates Y, S and L was chosen since this combination was a good performer in the experiment described in Example 1.

Probes containing phosphatidyl choline were prepared at a ratio of phospholipid to conjugate of 2:1 wt/wt.

Probes containing octyl glucoside were prepared at a ratio of glycolipid to conjugate of 1:1 wt/wt.

Results shown in the table below are optical densities at 450nm of TNF ELISAs conducted on 18 hour culture supernatants. The concentration of conjugate in the wells was 10ug/ml

## OD<sub>450</sub> of TNF ELISA

EYS E+Y+S medium control	0.390 0.059 0.000
EYS:OG	0.559
(E+Y+S):OG	0.193
OG control	0.228
EYS:PC	0.320
(E+Y+S):PC	0.130
PC control	0.081

This example shows that combinations of the conjugates elicit biological responses either when presented alone, or when presented in conjunction with other lipids, phospholipids or lipid sugars. It also shows that for efficacy to be manifested, it is important for all of the conjugates to presented in combination on the same supra-molecular assembly, and that activity is not observed if the conjugates are presented together at the same time, but different supra-molecular assemblies. separated on suggests that it is important to present the conjugates in close proximity to each other, in order to permit the formation of epitopes formed by non-covalent association of the conjugates, which can participate in specific binding with cell-surface receptors.

## Example 3: Enhancement of Oral Uptake

- 1. Individual conjugates L, S, E & Q (conjugated to lipid via a tyrosine-glycine spacer) were prepared as solutions in benzyl alcohol at a concentration of  $10 \, \text{mg/ml}$ .
- 2. 75ul of  $^{14}\text{C-cholesterol}$  oleate (3.7MBq/ml in toluene) was dispensed into four 7ml glass screw-capped vials and dried down under a stream of nitrogen.
- 3. 400ul of each of the solutions in (1) was added to one of the vials in (2) and shaken overnight at room temperature.
- 4. Solutions of the conjugates were dispensed into 7ml glass vials in equal proportions, to give a final volume of 80ul (0.8mg of solid) in all vials, as shown in the example below.

	L	S	E	Q
L	80ul		_	-
S	_	80ul	-	-
E	_	-	80ul	-
Q	_	-	-	80ul
LS	40ul	40ul	-	_
LE	40ul	_	40ul	
LQ	40ul		-	40ul
SE	-	40ul	40ul	-
SQ	_	40ul		40ul
EQ	_	_	40ul	40ul
LSE	27ul	27ul	27ul	-
LSQ	27ul	27ul	-	27ul
LEQ	27ul		27ul	27ul
SEQ		27ul	27ul	27ul
LSEQ	20ul	20ul	20ul	20ul

- 5. 2ml of distilled water was added to each of the vials with vortexing. The vials were then capped and bath-sonicated for 20 minutes.
- 6. The samples were then frozen in liquid nitrogen and lyophilised overnight.
- 7. The following day, each vial was reconstituted with 2ml of distilled water and sonicated again until clear dispersions were achieved.
- 8. The samples were administered by oral gavage to Balb/c female mice (20-25g weight four mice per group) at a dose of 0.3ml per animal.
- 9. 75ul heparinised blood samples were taken by tail venupuncture at 45, 90 and 180 minutes after administration.
- 10.Each sample was diluted in 0.5ml of PBS, which was then centrifuged, and 0.4ml of the supernate was transferred to a scintillation vial to which 2ml of Optiphase Hisafe 3 (Wallac) was added with mixing.
- 11. Activity in the samples was measured in a scintillation counter.

Percentage uptake was estimated on the basis of a 2ml blood volume, of which 1ml was assumed to be plasma.

Results are shown in the table below.

	<pre>% uptake 45mins</pre>	in blo	
L	0.90	1.39	0.61
S	1.12	1.14	0.81
E	0.85	1.55	0.79
Q	1.40	3.00	0.81
LS	2.87	2.38	0.66
LE	2.59	2.22	0.49
ŁQ	5.05	2.15	0.45
SE	4.21	1.66	0.70
SQ	4.67	1.45	0.67
ΕQ	3.72	2.65	0.59
LSE	1.91	1.20	0.97
LSQ	6.23	1.90	0.80
LEQ	2.77	1.73	0.98
SEQ	3.06	1.52	0.63
LSEQ	2.45	1.74	0.81

It can be seen that some, but not all, of the combinations of different headgroups enhance uptake of label via the oral route, indicating that the response is specific to those particular combinations. The example illustrates the way in which the conjugates described can be employed in the combinatorial approach to identify efficacious combinations capable of acting as targeting ligands.

#### Example 4: ELISA Fc binding

- 1. 100ul of goat IgG (1mg/ml) was added to 20ml of PBS and 100ul was placed in each well of a flat-bottomed microtitre plate.
- 2. The plate was incubated for several days at +4degC.
- 3. 2mg of each of the conjugates Y, F, W, L, S, E, Q & R (each linked to lipid via a serine-glycine spacer) were weighed into 1ml glass vials and 200ul of benzyl alcohol added to give solutions of each conjugate at a concentration of 10mg/ml.
- 4. The solutions were dispensed in 7ml glass screw-capped vials as follows:

Vial No.	<b>Y</b> .	F	W	L	S	E	Q	R
1	20ul	20ul	20ul	_				
2	20ul	20ul	<del>-</del> .	20ul			•	
3	20ul	-	20ul	20ul				
4	_	20ul	20ul	20ul				
5		•			20ul	20ul	20ul	-
6					20ul	20ul	_	20ul
7					20ul	-	20ul	20ul

- 5. The contents of each vial were mixed well by vortexing, then 1.5ml of distilled water was added to each vial.
- 6. The vials were capped and bath-sonicated for five minutes to give crystal clear dispersions.

- 7. The plate from step (2) was washed in PBS/0.02% Tween 20 and then blocked by incubating for one hour with 1% BSA in PBS (300ul/well).
- 8. The plate was then washed as before, and 100ul of sample from each of the vials in step (6) was added to wells in column (1) of rows (1) to (7). Row (8) was left as a blank control.
- 9. Doubling dilutions were performed across the plate by transferring 100ul from wells in column (1) to the adjacent well on the same row in column (2) and mixing, then transferring 100ul to the next column as before, etc.
- 10. The plate was then incubated overnight at +4 degC.
- 11. The following day, the plate was washed as before and 100ul of commercial horseradish peroxidase-IgG conjugate (diluted 1/1000 in PBS) was added to each well and incubated at room temperature for 40 minutes.
- 12. The plate was then washed again, and 100ul of OPD substrate for peroxidase was added to each well and incubated at room temperature for 30 minutes.
- 13.20ul of 3M sulphuric acid was then added to each well to stop the reaction.
- 14. The optical density of each of the wells was measured at 450nm on a plate reader, and the results obtained, after adjustment for background, are recorded below.

Sa	mple	1 in 4	1 in 8	1 in 16	1 in 32	1 in 64
1	YFW	0.001	0.039	0.048	0.053	0.083
2	YFL	1.504	1.484	1.325	0.723	0.051
3	YWL	0.803	0.192	0.022	0.023	0.060
4	FWL	1.034	0.778	0.208	0.031	0.034
5	SEQ	0.029	0.041	0.055	0.057	0.091
6	SER	0.013	0.030	0.044	0.062	0.075
7	SQR	0.000	0.045	0.031	0.054	0.065

It can be seen that maximal binding is achieved with samples 2, 3 and 4 (ie combinations YFL, YWL, and FWL).

It can be seen that some, but not all, of the combinations of different headgroups enter into strong binding interactions, indicating that the response is specific to those particular combinations. The example illustrates the way in which the conjugates described can be employed in the combinatorial approach to identify efficacious combinations for the purpose of eliciting a desired binding interaction.

(OTAZH) SINX GE BOXANO (APPLO)

Figure 1









